

02-27-02

PATENT
USA.286-1

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Newell et al.

) Attorney Docket No.: USA.286-1

Title: POST PROCESSING THREE-
DIMENSIONAL OBJECTS
FORMED BY SOLID
FREEFORM FABRICATION

) Express Mail Label No.: EE077045564US

) Customer No.: 022514

Date Filed: February 25, 2002

Certificate Under 37 CFR §1.10

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Date of Deposit

Janice Gainer

Name

Signature

BOX PATENT APPLICATION

U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

UTILITY PATENT APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s): Kenneth J. Newell, Kris Alan Schmidt, and Michael Thomas Sherwood.

APPLICATION ELEMENTS

1. Fee Transmittal Form.

2. Type of Application.

This new application is for a(n) (check one applicable item below):

☐ Original

☐ Divisional

☐ Continuation

☒ Continuation-in-part (CIP) of Prior Application No.: 09/970,727

Examiner:

Group Art Unit:

1732

3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b).

- ☒ Pages of Specification Total Pages: 39
- ☒ Drawings - Informal Total Sheets: 5
- ☒ Oath or Declaration and Power of Attorney for
Patent Application
- ☒ Newly executed (original or copy)
- ☐ Copy from a prior application (37 CFR 1.63(d))

4. Additional Papers Enclosed.

- ☒ Assignment Papers (cover sheet & document(s))
- ☒ Information Disclosure Statement (IDS)/PTO-1449
Copies of IDS Citations
- ☒ Return Receipt Postcard (MPEP 503)

5. Inventorship.

The inventorship names and addresses for all the claims in this application are:

Kenneth J. Newell
25876 The Old Road #33
Valencia, CA 91381

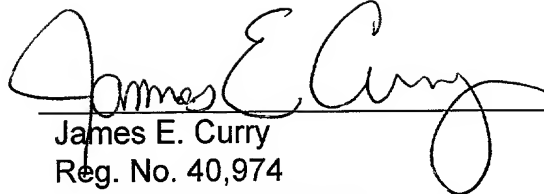
Kris Alan Schmidt
10354 Danube Avenue
Granada Hills, CA 91344

Michael Thomas Sherwood
29849 Westhaven Drive
Agoura Hills, CA 91301

6. Language.

☒ English

Dated: February 25, 2002


James E. Curry
Reg. No. 40,974
Attorney for Applicants

3D Systems, Inc.
26081 Avenue Hall
Valencia, CA 91355
(661) 295-5600, ext. 2470

☒ Statement Where No Further Pages Added.

☒ This Transmittal Ends With This Page.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$906.00

Complete if Known

Application Number	To be assigned
Filing Date	February 25, 2002
First Named Inventor	Newell
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	USA.286-1

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

20-0900

Deposit
Account
Name

3D Systems, Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					\$740.00

2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims		Fee from below		Fee Paid
Total Claims				
27	-20** =	7	X 18.00 =	126.00
Independent Claims	2 - 3** =	0	X 84.00 =	0.00
Multiple Dependent				

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

\$126.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

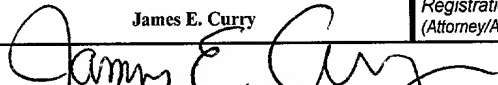
Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

SUBMITTED BY

Name	James E. Curry	Registration No. (Attorney/Agent)	40,974	Telephone	661-295-5600 ext. 2470
Signature				Date	February 25, 2002

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231